

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450



Docket No.: 208.1007US

Date: July 9, 2004

IFW

In re application of: Peter Boer, et al.
Serial No.: 10/692,662
Filed: October 24, 2003
For: **ANALOGS AND PRODRUGS OF BUPRENORPHINE**

Sir:

Transmitted herewith is an **Information Disclosure Statement** in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ No fee for additional claims is required.
- ☐ A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	REMAINING	HIGHEST	RATE	FEE		RATE	FEE
	AFTER	PREVIOUSLY	x \$ 9	\$		x \$ 18	\$
	AMENDMENT	PAID FOR					
TOTAL CLAIMS	*	Minus 20** =	x \$ 43	\$		x \$ 86	\$
INDEP. CLAIMS	*	Minus 3*** =	+	\$145	\$	+	\$290
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM							

TOTAL: \$ OR TOTAL: \$.00

- * If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

☒ Also transmitted herewith are:

- ☒ Form PTO-1449 (1 page)
- ☒ Other: Reference cited

☐ Check(s) in the amount of \$.00 is/are attached to cover:

- ☐ Filing fee for additional claims under 37 C.F.R. 1.16
- ☐ Petition fee for extension under 37 C.F.R. 1.136
- ☐ Other: Basic filing fee, late oath or declaration

☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.

- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.


Robert J. Paradiso, Reg. No. 41,240
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I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on July 9, 2004.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 
Elizabeth Pietrowski



208.1007US

UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Art Unit: 1642
Re: Application of: Peter BOER, et al.
Serial No.: 10/692,662
Filed: October 24, 2003
For: **ANALOGS AND PRODRUGS OF
BUPRENORPHINE**

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.56**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 9, 2004

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the documents listed on the accompanying Form PTO-1449 (1 sheet) for consideration by the Examiner in connection with the examination of the above-identified patent application.

Pursuant to 37 C.F.R. § 1.98 (a), the foreign patent document listed on the attached Form PTO-1449 is enclosed. If it is determined that any of the listed references are not presently enclosed, the Examiner is requested to contact the undersigned so that a copy can be forwarded.

It is respectfully requested that the references cited in the accompanying Form PTO-1449 (1 sheet) be considered and made of record.

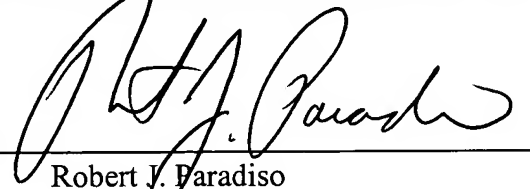
This Information Disclosure Statement is filed under 37 C.F.R. § 1.97 (b), before the mailing of a first Office Action on the merits. Accordingly, no fee is believed due. In the event any additional fee is due or any overpayment made in connection with the filing of this

208.1007US

Information Disclosure Statement, the Commissioner is hereby authorized to charge said deficiency or credit overpayment to our Deposit Account No. 50-0552.

Respectfully submitted,
DAVIDSON, DAVIDSON & KAPPEL, LLC

By

A handwritten signature in black ink, appearing to read "R. J. Paradiso", is written over a horizontal line.

Robert J. Paradiso
Reg. No. 41,240

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FORM PTO-1449 (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO.: 208.1007us		SERIAL NO.: 10/692,662								
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT(S): Boer et al.										
				FILING DATE: October 24, 2003		GROUP: 1642								
U.S. PATENT DOCUMENTS														
*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	4	5	8	8	5	8	0	05/13/1986	Gale, et al.	424	21		
	AB	4	8	0	6	3	4	1	02/21/1989	Chien, et al.	424	448		
	AC	5	0	2	6	5	5	6	06/26/1991	Drust, et al.	424	449		
	AD	5	0	6	9	9	0	9	12/03/1991	Sharma, et al.	424	449		
	AE	5	2	2	5	1	9	9	07/06/1993	Hidaka, et al.	424	443		
	AF	5	2	4	0	7	1	1	08/31/1993	Hille, et al.	424	448		
	AG	5	9	0	8	8	4	6	06/01/1999	Bungaard et al.	514	282		
	AH	5	9	6	8	5	4	7	10/19/1999	Reder et al.	424	449		
	AI	6	2	3	1	8	6	6	05/15/2001	Reder et al.	424	449		
	AJ	6	3	4	4	2	1	2	02/05/2002	Reder et al.	424	449		
FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AK	9	6	1	9	9	7	5	12/24/1994	WO	A61K	9/70	abstract	
	AL													
OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)														
	AM													
	AN													
	AO													
	AP													
	AQ													
	AR													
	AS													
EXAMINER									DATE CONSIDERED					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.														